

Report

Update on the 2019 Health and Social Care Grants Programme

Edinburgh Integration Joint Board

24 May 2019

Executive Summary

1. The purpose of this report is to update the board on the health and social care grant review. Specifically, the transition funding of £200k now delegated by the Council and the £100k innovation fund.
2. Any member wishing additional information on the detail of this paper should contact the author in advance of the meeting.

Recommendations

3. The Integration Joint Board is asked to agree:
 - a) That the £200k to support transition agreed by the Council is allocated to 25 organisations on a pro rata basis as per appendix 2;
 - b) The delegation of decisions on any remaining contingency to the Chief Officer in consultation with the Chair and Vice Chair; and
 - c) To delegate authority to the Chief Officer to institute the process for the innovation fund and to issue grants in line with the recommendations of the awards panel.

Background

4. On 14th December 2018 the Integration Joint Board (IJB) agreed a series of grant awards totalling £14.1m over a three year period. This process was significantly over subscribed and attracted a total of 152 applications requesting funding of £31m over three years. The grant process carried out was recognised to be both thorough and robust but the sheer number of applications meant there were a number of unsuccessful organisations who had previously received funding.
5. On 21st February 2019, the City of Edinburgh Council (the Council) agreed to provide funding of £200k to provide additional transitional support for those



organisations and agreed that a report would be considered at the Corporate Policy and Strategy Committee (CP&SC) on 14th May 2019.

6. When agreeing the grant programme in December 2018, the IJB agreed that the Chief Officer should work with those organisations that had previously received funding but were unsuccessful in their application, to ensure that service users facing a loss of service were offered appropriate alternative support. Reflecting the potential requirement to have some transition funding available the IJB did not agree to proceed with the innovation fund, meaning that up to £100k was available to resource these efforts. The Board agreed at its meeting in March to keep this under review pending the Council's decision on its transition funding discussed above.

Main report

Transition funding (£200k)

7. In agreeing its budget for 2019/20 the City of Edinburgh Council made *“£200k available as transitional funding for organisations facing the greatest impacts following the loss of EIJG grant funding. The administration will bring forward a report to Corporate Policy and Strategy to identify how this can be best prioritised.”* The committee meeting was subsequently held on 14th May 2019 and members were presented 2 options to distribute the funding:
 - a) Provide funding to the IJB to aid their transitional support; or
 - b) Provide funding via the neighbourhood networks.
8. Following a vote option (a) above was preferred, largely on the basis of the time it would take to distribute the monies via the, yet to be fully established, neighbourhood networks.
9. In total 31 organisations who made 33 applications fell into the category of previous grant recipients unsuccessful under the new process. It should be noted that this includes 2 organisations who submitted late applications. Of these 31 organisations, 6 who provide services for carers have since been offered one year funding. This was sourced from slippage on the carers act monies, pending the agreement of the implementation plan for the carer's strategy. Thus the 25 remaining organisations are eligible for a share of the £200k transition funding.
10. After the decision from the CP&SC, representatives from the grant review steering group met to consider how best to distribute the money. A structured approach was taken, a long list of options was identified and reviewed against a set of weighted criteria agreed by the group. The preferred option identified is to distribute the £200k transition funding to all 25 eligible organisations based on the relative level of their previous grants. Appendix 1 gives more detail on the process and appendix 2 lists the resultant allocation for each organisation.

11. If this approach is supported, officers will move to implement immediately and it is anticipated that payments will be by the end of June at the latest. Where an organisation, for whatever reason, indicates that the funding is not required the equivalent sum will be placed in a contingency fund. In order to support timely decision making it is recommended that decisions on the use of any contingency created in this way is delegated to the Chief Officer in consultation with the Chair and Vice Chair.

Innovation fund (£100k)

12. The programme agreed by the IJB in August 2018 included an “innovation fund” of £100k p.a. to support creative and original ideas which may have less of a track record. Reflecting the potential requirement to have some transition funding available the IJB did not agree to proceed with the innovation fund when it considered the recommendations of the grants review in December 2018.
13. Now that an alternative source of transition funding has been identified, and the appetite remains for a relatively modest investment to support innovation, it is recommended that the work to scope the innovation fund is restarted. A sub group had been established, under the leadership of Stephanie-Anne Harris, the Strategic Development Manager of the Edinburgh Community Health Forum. This sub group had agreed the outline of a process commensurate with the level of funding available and had started to develop associated guidance notes. If agreed this work will be resurrected with a view to opening the fund for applications in the late summer/early autumn. It is recommended that the IJB delegate authority to the Chief Officer to run the process and award grants as recommended by the awards panel.

Key risks

14. Sustainability of 3rd sector organisations and the consequence of any disinvestment on services was identified as one of the 3 key risks at the start of the grant review. As discussed above, 31 organisations who previously received a health and social care grant were not awarded funding. Of these, 6 have since secured 1 year funding from the carers strategy. The approach set out in this paper will see each of the 25 remaining organisations receive a share of the £200k transition funding which contribute towards mitigating the impacts outlined in the integrated impact assessment.
15. Although the preferred option to distribute the funds was reached on the basis of set criteria there is a risk that the some 3rd sector organisations would support a different allocation methodology.

Financial implications

16. There are no direct financial implications arising from the report.

Implications for directions

17. There are no direct implications for directions arising from the report.

Equalities implications

18. An initial integrated impact assessment was undertaken in respect of the grants review, which identified both equality and sustainability implications. A follow up IIA, based on the recommendations of the review, was produced and reported to the board in March 2019.

Sustainability implications

19. As above.

Involving people

20. The priorities within our strategic plan and the outcomes in the Locality Improvement Plans have already been the subject of public consultation. The priorities within the outline strategic commissioning plans will form the basis of the 2019 strategic plan and be subject to public consultation in 2019.
21. Grant applications included details of the engagement undertaken with citizens as part of the evidence that there is a need for the service/project.

Impact on plans of other parties

22. As above.

Background reading/references

Grants review – report to the EIJB in August 2018

Grants review interim report – report to the EIJB in May 2018

Grants review, scope, methodology and timescales – report to the EIJB November 2017

Review of grant programmes – report to the EIJB September 2017

Grant review recommendations – report to the EIJB December 2018

Grant review update – report to the EIJB in March 2019

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Appendices

Appendix 1	2019 Edinburgh health and social care grant programme – transition funding option appraisal
Appendix 2	2019 Edinburgh health and social care grant programme – recommended transition funding awards

EDINBURGH HEALTH AND SOCIAL CARE GRANT PROGRAMME TRANSITION FUNDING OPTION APPRAISAL

On 16th May 2018, representatives from the grant review steering group carried out a high level option appraisal to identify a preferred methodology for distribution the £200k transition funding to organisations previously but no longer funded through the health and social care grants programme.

A summary of this process and the consequent recommendations are set out below.

Long list of options

7 potential options for allocating the funding were identified:

Option	Description
1. Allocate on an agreed basis:	
a. Equal amount for each organisation	Each organisation would receive £8,000, irrespective of size of original grant award
b. Pro rata based on existing funding level	Organisations would receive a percentage split based on the relative size of their previous grant. For every previous £1 of grant, the transition funding awarded would be 12.85p, roughly 1/8 th of the original award.
c. Geographic split, recognising localities and city wide services	Allocating the monies to 5 “pots”: 4 x localities and 1 city wide. Subsequently process to distribute to organisations.
d. Themed	Identify and synergies between organisations’ proposed use of funding and collectively procure appropriate support (e.g. fundraising).
2. Target gaps identified via the integrated impact assessment (IIA)	Use the IIA to identify the areas of greatest need/priority with subsequent process to distribute to appropriate organisations.
3. Base on the original process	Allocate funding to organisations which scored most highly.
4. Develop a specific process to allocate this funding	Design a process and seek specific bids.

Objectives

The group agreed 3 objectives or criteria against which each of the long listed options was assessed. These were weighted to reflect their relative importance, the most pressing of which was considered to be being able to distribute the funding as quickly as possible.

Criteria	Description	Weight
Speed	Taking account of the time it is likely to take to issue the funding.	60
Transition	Extent to which the money could be guaranteed to support transition of services and/or organisations.	20
Gaps	Degree to which investment would align with the issues identified in the integrated impact assessment (IIA).	20

Initial assessment of options

To narrow down the long list the group assessed the extent to which each option met the agreed criteria: wholly (✓); partially (-); or not at all (x).

The outcome is summarised below:

Option	Criteria/objectives		
	Speed 60%	Transition 20%	Gaps 20%
1. Allocate on an agreed basis:			
a. Equal amount for each organisation	✓	—	—
b. Pro rata based on existing funding level	✓	—	—
c. Geographic split	—	—	—
d. Themed	—	✓	✓
2. Target gaps identified via the IIA	—	✓	✓
3. Base on the original process	✓	x	x
4. Develop a specific process to allocate this funding	x	✓	✓

Short list of options

3 of the options (1a, 1b and 3) fully met the most important objective (speed). Option 3 however had no alignment with either of the other 2 objectives. On this basis, and although not the options with most “ticks”, options 1a and 1b were carried forward to the short list. This was on the basis of best fit with the identified objectives.

These 2 options were then scored (out of 10) to identify a preferred way forward. The outcome is summarised below:

Option	Speed 60%	Transition 20%	Gaps 20%	Total
1. Allocate on an agreed basis:				
a. Equal amount for each organisation	10	2	2	14
b. Pro rata based on existing funding level	10	5	5	20

Preferred option

Thus with the higher score, the preferred option is **1b – pro rate the available funding across all eligible organisations.**

EDINBURGH HEALTH AND SOCIAL CARE GRANT PROGRAMME
RECOMMENDED TRANSITION FUNDING AWARDS

Organisation	2018/19 grant	Allocation of transition budget
	£	£
Almond Mains Initiative	37,532	4,821
Bingham & District 50+ Project	9,116	1,171
Carr Gomm	27,733	3,562
City of Edinburgh Council	27,160	3,489
Community Ability Network	92,765	11,916
Corstorphine Community Centre	6,711	862
Drylaw Telford Community Association	43,746	5,619
Edinburgh & Lothians Greenspace Trust	108,308	13,912
Edinburgh Chinese Elderly Support Association	77,814	9,995
Edinburgh Voluntary Organisations' Council	313,240	40,236
Epilepsy Scotland	7,357	945
EVOC on behalf of LOOPS Communities Consortium	196,145	25,195
Forever Young Club	26,120	3,355
Muirhouse Millennium Centre	47,474	6,098
Nari Kallyan Shango (NKS)	38,206	4,908
Northfield & Willowbrae Community Service	14,300	1,837
Pakistan Society Advice & Information Services Ltd	34,200	4,393
Pilton Community Health Project	229,379	29,464
Pilton Equalities Project	75,901	9,750
Saheliya	18,476	2,373
The Alma Project	28,800	3,699
The Church of Scotland Social Care Council (CrossReach)	9,094	1,168
The Junction	22,175	2,848
Volunteer Centre Edinburgh	25,715	3,303
WHALE Arts	39,537	5,079
Total value	1,557,004	200,000